Form PTO-1449 U.S. Department of Commercy Attorney Docket No.: 1201.63407 Serial No.: 09/496,506 Patent and Trademark Office (Rev. 8-88) Applicant: Nayfeh et al. INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Filing Date: February 2, 2000 Group: 2811 **U.S. PATENT DOCUMENTS** Filing Date Examiner **Document Number** Class Subclass If Appropriate Initial* Date Name 08/03/1971 David Weiner et al. 5 6 2 5 0 0 0 07/16/1996 Alivisatos et al. 5 2 03/09/1999 Burt 8 8 0 0 5 9 0 6 7 05/25/1999 Dobson et al. 6 0 6 3 2 12/04/2001 Ueda et al. 6 **FOREIGN PATENT DOCUMENTS** Translation Class Yes No **Document Number** Date Country Subclass 3 5 1 02/07/1990 EΡ Χ PUBLICATIONS (Including Author, Title, Date, Pertinent Pages, Etc.) Shoutian Li, I.N. Germanenko, M.S. El Shall, "Semiconductor nanoparticles in contact: quenching of the photoluminescence from silicon nanocrystals by WO3 nanoparticles suspended in solution", Journal of Physical Chemistry B, Vol. 102, No. 38, pp. 7319-7322, Sept. 17, 1998 (Abstract). Tetsuya Makimura, Yasuhiko Kunii and Kouichi Murakami, "Light Emission from Nanometer-Sized Silicon Particles Fabricated by the Laser Ablation Method", Jpn. J. Appl. Phys., Vol. 35, (1996), pp. 4780-4784. M.L. Brongersma, K.S. Min, E. Boer, T.Tambo, A. Polman, and H.A. Atwater, "Tailoring the Optical Properties of Si Nanocrystals in SiO₂: Materials Issues and Nanocrystal Laser Perspectives", Mat. Res. Soc. Symp. Proc., Vol. 486, 1998 Materials Research Society, pp. 213-L.E. Brus, P.F. Szajowski, W.L. Wilson, T.D. Harris, S. Schuppler, and P.H. Citrin, "Electronic Spectroscopy and Photophysics of Si Nanocrystals: Relationship to Bulk c-Si and Porous Si", J. Am. Chem. Soc., 1995, Vol. 117, pp. 2915-2922. M. Nayfeh, O. Akcakir, J. Therrien, Z. Yamani, N. Barry, W. Yu, and E. Gratton, "Highly nonlinear photoluminescence threshold in porous silicon", Applied Physics Letters, Volume 75, Number 26, 27 December 1999, pp. 4112-4113. Gennadiy Belomoin, Joel Therrien, and Munir Nayfeh, "Oxide and hydrogen capped ultrasmall blue luminescent Si nanoparticles", Applied SW Physics Letters, Volume 77, Number 6, 7 August 2000, pp. 779-780. Examiner **Date Considered** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation *Examiner if not in conformance and not considered. Include copy of this form with next communication to applicant.